Form PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

KRIS ET AL.

FILING DATE

ATTY. DKT. NO. | SERIAL NO.

GROUP

09/885,973

June 22, 2001

NEOGEN 1WO P2

C2 **APPLICANT**

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		er	Document Number	Date	Name	Class	Subclass	Filing Date
al AA		AA	5,545,531	08/13/96	Rava et al.			06/07/95
		AB	5,547,839	08/20/96	Dower et al.			12/06/90
		AC	5,324,633	06/28/94	Fodor et al.			11/22/91
		AD	5,510,270	04/23/96	Fodor et al.			09/30/92
		AE	5,143,854	09/01/92	Pirrung et al.			03/07/90
		AF	5,482,867	01/09/96	Barrett et al.			04/23/93
		AG	5,661,028	08/26/97	Foote			09/29/95
		AH	5,770,370	06/23/98	Kumar	·		06/14/96
		AI	5,605,798	02/25/97	Köster			03/17/95
a		AI(1)	5,744,305	04/28/98	Fodor et al.			06/06/95

FOREIGN PATENT DOCUMENTS

Examir	ıer	Document		Class	Subclass	Translation		
Initial		Number Date	Date	Country	Class	Subciass	Yes	No
as	AJ	97/07245	02/27/97	PCT			х	
	AK	89/11548	11/30/89	PCT			х	
	AL	97/05277	02/13/97	PCT				х
as	AP	97/10365	03/20/97	PCT			х	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

W		Alper, "Weighing DNA for Fast Genetic Diagnosis", Science, Vol. 279, 27 March 1998, pp. 2044-2045.
	AR	Anderson et al., "Polynucleotide Arrays for Genetic Sequence Analysis", Topics in Current Chemistry, Vol. 194, 1998, pp. 118-129.
		Beattie et al., "Advances in Genosensor Research", Clinical Chemistry, Vol. 41, No. 5, 1995, pp. 700-706.
ar	AT	Chee et al., "Accessing Genetic Information with High-Density DNA Arrays", Science, Vol. 274, 25 October 1996, pp. 610-614.

Examiner Wish H	Date Considered
-----------------	-----------------

Form PTO-1449

U.S. DEPARTMENT OF **COMMERCE** PATENT AND TRADEMARK **OFFICE**

ATTY. DKT. NO. SERIAL NO.

NEOGEN 1WO P2 C2

09/885,973

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

KRIS ET AL.

APPLICANT

FILING DATE **GROUP**

				FILING DATE	GROUP				
			(Use several sheets if necessary)	June 22, 2001	Unassigned				
al	2	AU	Chetverin et al., "Oligonucleotide Arrays: New Conce Bio/Technology, Vol. 12, 12 November 1994, pp. 109	epts and Possibilities", 3-1099.					
		AV	DeRisi et al., "Use of a cDNA Microarray to Analyse Gene Expression Patterns in Human Cancer", Nature Genetics, Vol. 14, December 1996, pp. 457-460.						
		AW	Eggers et al., "A Microchip for Quantitative Detection (1994), pp. 516-524.	n", BioTechniques, \	/ol. 17, No. 3				
		AX	Eggers et al., "A Review of Microfabricated Devices Hematologic Pathology 9(1), 1-15 (1995).	for Gene-Based Diagn	ostics",				
		AY	Gautheret et al., "Alternate Polyadenylation in Human 8:524-530 (1998).	n nRNAs", Genome	Research,				
		AZ	Maskos et al., "A Novel Method for the Parallel Anal Samples", Nucleic Acids Research, 1993, Vol. 21, No.	ysis of Multiple Mutat . 9, pp. 2269-2270.	ions in Multiple				
		AA1	Hoheisel, "Oligomer-chip Technology", <i>BioTechnolo</i> 1 (166), pp. 465-469.	gy TIBTECH, Nov. 19	97, Vol. 15, No.				
		AA2	Lipshutz et al., "Using Oligonucleotide Probe Arrays BioTechniques, Vol. 19, No. 3 (1995), pp. 442-447.	to Access Genetic Div	ersity",				
		AA3	Lockhart et al., "Expression Monitoring by Hybridiza Arrays", Nature Biotechnology, Vol. 14, December 19	tion to High-Density (996, pp. 1675-1680.	Oligonucleotide				
		AA4	Marshall et al., "DNA chips: An array of possibilities January 1998, pp. 27-31.	", Nature Biotechnolo	gy, vol. 16,				
		AA5	Niemeyer et al., "Oligonucleotide-directed self-assem Research, 1994, Vol. 22, No. 25, pp. 5530-5539.	onucleotide-directed self-assembly of proteins", Nucleic Acids 12, No. 25, pp. 5530-5539.					
		AA6	O'Donnell-Maloney et al., "The Development of Mic Sequencing and Analysis", TIBTECH, Vol. 14, Oct. 1	rofabricated Arrays fo 996	r DNA				
		AA7	Sapolsky et al., "Mapping Genomic Library Clones U Genomics, 33, 445-456 (1996).	sing Oligonucleotide	Arrays",				
		AA8	Schullek et al., "A High-Density Screening Format fo Analytical Biochemistry, 246, 20-29 (1997).	r Encoded Combinato	rial Libraries",				
		AA9	Shoemaker et al., "Quantitative Phenotypic Analysis Vol. 14, December 1996, pp. 450-456.	of Yeast Deletion", I	Vature Genetics,				
		AB1	Shuber et al., "High Throughput Parallel Analysis of Human Molecular Genetics, 1997, Vol. 6, No. 3, pp.	Hundreds of Patient Sa 337-347.	amples",				
		AB2	Southern, "DNA chips: analysing sequence by hybrid 12, 1996.	ization", Trends in C	GENETICS, Vol.				
0		AB3	Southern, "High-density gridding: techniques and app Biotechnology, Vol. 7, No. 1, February 1996, pp. 85-8	lications", Current Op	oinion in				

Examiner	alixah H. De	Date Considered

OIPE CONTOR

∖orm PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

NEOGEN 1WO P2 C2

APPLICANT

KRIS ET AL.

FILING DATE

June 22, 2001

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

(1)	BF	5,288,609	2/22/94	Engelhardt et al.	435	6	10/30/92
	BG	5,374,524	12/20/94	Miller	435	6	04/27/93
	ВН	4,563,419	01/07/86	Ranki et al.	435	6	12/29/83
	BI	4,228,237	10/14/80	Hevey et al.	435	7	09/21/78
	BJ	5,643,730	07/01/97	Banker et al.	435	6	03/14/95
	BK	5,744,305	04/28/98	Fodor et al.	435	6	06/06/95
	BL	5,556,748	09/17/96	Douglas, Allan S.	435	6	
	BM	5,175,270	12/29/92	Nilsen et al.	536	27	
	BN	5,241,060	8/31/93	S. Kline et al.			•
	BO	6,083,763	7/4/00	Balch		-	
(A)	BP	4,716,106	12/29/87	David Chiswell			

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document					Trai	nslation
		Number	Date	Country	Class	Subc lass	Yes	No
W	_ BN	WO 99/32663	7/1/99	PCT		-		
	ВО	WO 9731256	08/28/97	PCT				
	BP	0698792	02/28/96	EPC				
	BQ	19518217	11/30/95	Germany		\pm		
	BR	9727317	07/31/97	PCT				
	BS	0742286	11/13/96	EPC		$\overline{+}$		
	BT	99/28494A	6/10/99	wo			,	
	BU	97/47640	12/18/97	wo				
	BV	0846776A	6/10/98	EP			,	
لأن	- BW	00/37684A	. 6/29/00	wo				

Examiner	alisah H. Sl	Date Considered
		1 1130 1

DE JC127 THE WAR OF THE JC 12/2

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

NEOGEN 1WO P2 C2

APPLICANT

KRIS ET AL.

FILING DATE

June 22, 2001

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

(1)	BX	Kowalak, J., et al. "Posttranscriptional Modification of the Central Loop of Domain V in Escherichia coli 23 S Ribosomal RNA", The Journal of Biological Chemistry, Vol. 270, No. 30, July 28, 1995, pp. 17758-17764.	
Ol	BY	Gautheret, D., et al. "Alternate Polyadenylation in Human mRNAs: A Large-Scale Analysis by EST Clustering", Genome Research, Vol. 8, 1998, pp. 524-530.	

U.S. PATENT DOCUMENTS

(1)	BZ	4,751,177	6/14/88	Stabinsky	435	6
Ÿ	CA	4,994,373	2/19/91	Stavrianopoulos et al.	435	6
$\neg \vdash \neg$	СВ	5,789,165	8/4/98	Oku et al.	435	6
\Box	CC	4,925,785	5/15/90	Wang et al.	435	6
	CD	4,868,105	9/19/89	Urdea et al.	435	6
w	CE	5,445,943	8/29/95	Fodor et al.		
w l	CE	3,443,943	0/29/93	rodor et ai.		
						•

FOREIGN PATENT DOCUMENTS

Examiner		Document	Dete	C	Class	Cb-	Tra	nslation
Initial		Number	Date Country		Class	Subc lass	Yes	No
Cul	CF	WO 97/33000	9/12/97	PCT				
	CG	WO 96/29431	9/26/96	PCT				
	СН	EP 0 721 016	7/10/96	EP				
CD	CI	WO 91/15600	10/17/91	PCT				
							_	-

Examiner Wesah H	Date Considered
1	

Form PTO-1449

IN

IN

IN

IN

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

NEOGEN 1WO P2 C2

APPLICANT

KRIS ET AL.

FILING DATE

June 22, 2001

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ad	CL	Sapolsky, R., et al. "Mapping Genomic Library Clones Using Oligonucleotide Arrays", Genomics, Vol. 33, 1996, pp. 445-456.
	CM	Kowalak et al., "Posttranscriptional Modification of the Central Loop of Domain V", <i>The Journal of Biological Chemistry</i> , Vol. 270, No. 30, Issue of July 28, pp. 17758-17765, 1995.
	CN	Maier et al. (1997), "Drugs Discovery Today", Vol. 2 (8), pp. 315-324.
	СО	D.P. Little et al., Anal. Chem., Vol. 69, No. 22, pp. 4540-4546 (1997): "MALDI on a Chip: Analysis of Arrays of Low-Ferntomole to Subferntomole Quantitites of"
	CP	D. Sarracino et al., <i>Bioorganic & Medicinal Chemistry Letters</i> , Vol. 6, No. 21, pp. 2543-2548 (1996): "Quantitative Maldi-tof MS of Oligonucleotides and a Nuclease Assay"
	CQ	K. Tang et al., Rapid Comm. in Mass Spectrometry, Vol. 8, pp. 183-186 (1994): "Matrix-assisted laser desorption/ionization of restriction enzyme-digested DNA"
	CR	A.C. Pease et al., <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 91, pp. 5022-5026 (1994): "Light-generated oligonucleotide arrays for rapid DNA sequence analysis"
	CS	International Search Report for PCT/US99/30515
a	CT	International Search Report for PCT/US98/27191

Examiner	CA III XX	Date Considered
	allian 4 2	42104